

UNITED STATES PATENT APPLICATION  
FOR

DYNAMIC PHASE ALIGNING INTERFACE

INVENTOR:

HENNING LYS DAL,  
a citizen of Denmark

EIVIND JOHANSEN,  
a citizen of Denmark

PREPARED BY:

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
12400 WILSHIRE BOULEVARD  
SEVENTH FLOOR  
LOS ANGELES, CA 90025-1026  
(303) 740-1980

**EXPRESS MAIL CERTIFICATE OF MAILING**

"Express Mail" mailing label number: EL906880571US

Date of Deposit: June 26, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231

Lori E. Todd

(Typed or printed name of person mailing paper or fee)

Lori E. Todd  
(Signature of person mailing paper or fee)

June 26, 2001  
(Date signed)

## **DYNAMIC PHASE ALIGNING INTERFACE**

### **FIELD OF THE INVENTION**

[0001] This invention relates to communication systems in general, and more  
5 specifically to a method and apparatus for a dynamic phase aligning interface.

### **BACKGROUND OF THE INVENTION**

[0002] In a digital interface between two devices, data often is accompanied by  
a clock signal. In many instances the device that is transmitting the data is also the  
source of the clock signal. However, in certain instances the receiving device is the  
10 source of the clock signal, in which case the interface is said to be counter clocked. A  
counter clocked interface creates different design considerations than other clock  
arrangements. The clock signal received by the second device is delayed by the time that  
it takes for the clock signal source to propagate through the first device. In this case,  
there is no known relationship between the data and the clock signal that can be used to  
15 determine proper clocking relationships. Violations may occur in set-up time (the  
amount of time before the active edge of a clock signal that input data must be held to  
ensure the data is valid) and in hold time (the amount of time after the active edge of a  
clock signal that input data must be held to ensure it is valid). For this reason,  
transmitted data may be sampled incorrectly in a counter clocked interface.

20 [0003] In conventional counter clocked systems, a FIFO (first in, first out)  
buffer may be used to absorb clock signal variation. Using a FIFO buffer, the data may  
be written according to the clock of the transmitting device and read according to the  
clock of the receiving device. However, the use of a FIFO buffer generally requires a

significant amount of logic. In conventional systems including a FIFO buffer, the buffer is placed in the device that is receiving the data. If the device receiving the data is a high speed device, there are substantial costs involved in including the logic required for the buffer.

- 5           **[0004]** In operating a conventional system utilizing a FIFO buffer, there is a need for a buffer pointer and connected pointer control logic for the operation of the buffer. For proper operation, the buffer logic must ensure that reading and writing timing problems are addressed and must ensure that there are no overflow conditions in the buffer. To reset or initialize the buffer, a conventional system requires control
- 10           handshaking between the devices. A buffer is generally of limited size and to establish the needed size of the buffer, the potential difference in time caused by the round-trip propagation delay in the counter clocked clock signal source must be estimated, creating further design concerns.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0005] The appended claims set forth the features of the invention with particularity. The invention, together with its advantages, may be best understood from the following detailed descriptions taken in conjunction with the accompanying  
5 drawings, of which:

[0006] Figure 1 is a flowchart illustrating the process of phase alignment in a particular embodiment;

[0007] Figure 2 is a timing diagram illustrating alignment of clock signals under an embodiment;

10 [0008] Figure 3 is a diagram of a system embodiment that includes a FIFO buffer; and

[0009] Figure 4 is a diagram of a system embodiment that does not include a FIFO buffer.

## DETAILED DESCRIPTION

[0010] A method and apparatus are described for a dynamic phase aligning interface.

[0011] In the following description, for the purposes of explanation, numerous specific details are set forth in order to provide a thorough understanding of the present invention. However, it will be apparent to one skilled in the art that the present invention may be practiced without some of these specific details. In other instances, well-known structures and devices are shown in block diagram form.

[0012] The present invention includes various processes, which will be described below. The processes of the present invention may be performed by hardware components or may be embodied in machine-executable instructions, which may be used to cause a general-purpose or special-purpose processor or logic circuits programmed with the instructions to perform the processes. Alternatively, the processes may be performed by a combination of hardware and software.

[0013] This application describes a method and apparatus for a phase aligning input interface. Under an embodiment of the invention, data is transmitted from a first device to a second device. Some portion of the second device is clocked by a first clock signal. The second device provides the source for a second clock signal for clocking the data, which then is delayed by a period of time as the second clock propagates through the first device (the clock-out to data-in delay). Under an embodiment, the first device is a high-speed device and the second device is a slower device. The round-trip delay variations through the low speed device may create difficulty in meeting the input setup and hold times of the high-speed device. Note that while this description refers to a first

device and a second device for simplicity, the first and second devices may be subsystems in a larger device or system or may be other types of subparts or components that are interfaced together.

[0014] The implementation agreement of the Optical Internetworking Forum regarding the interface between SONET (synchronous optical network) framer and serializer/deserializer parts is related to digital interface systems. (OIF-SFI4-01.0, Proposal for a common Electrical Interface Between SONET Framer and Serializer/Deserializer Parts for OC-192 Interfaces, dated September 26, 2000) In such agreement, it is provided that the transmitter (the second device receiving the data) shall preferably provide means to absorb the delay variance between the framer clock source input (referred to as TXCLK\_SRC) and the clock signal output (referred to as TXCLK). However, a method for absorbing the delay variance is not provided in such implementation agreement.

[0015] Under an embodiment of an interface between a first device and a second device, the phase of the first clock signal and the phase of the second clock signal are determined and the phase of the second clock signal source is adjusted to compensate for variation between the phases of the first and second clock signals. In an embodiment, the second device utilizes a phase frequency detector (PFD) to determine the phase and frequency of the clock signals. In a particular embodiment, the phase frequency detector may be a set-reset (SR) latch based phase frequency detector. In one embodiment, the adjustment in phase may be accomplished by modifying the frequency of the second clock signal source. According to the embodiment, the phases of the clock signals are monitored during operation and the phase of the second clock signal source is

09893217-062601  
T0399072666  
dynamically modified to maintain alignment of the phase of the second clock signal with the phase of the first clock signal whenever the phase of the second clock signal drifts away from the phase of the first clock signal.

5       **[0016]** An advantage of dynamic phase alignment in a digital interface over conventional systems is that an embodiment of a dynamic phase alignment system may require less logic in the device receiving the data (the second device) than conventional systems that utilize a FIFO buffer. In some embodiments of dynamic phase alignment, no FIFO buffer is implemented for the transmission of data. If a FIFO buffer is used, the buffer may be placed in the first device that transmitting the data to the second device, rather than placing such logic in the second device. The use of dynamic phase alignment thus provides a better partitioning of function in a communication system. This allows a reduction in cost when, for example, the receiving device is a relatively expensive high-speed analog device and the transmitting device is a relatively inexpensive CMOS device.

10       **[0017]** A further advantage of dynamic phase alignment is that an embodiment may be immune to any variation in the clock-out to data-in delay. This provides a significant improvement over a conventional FIFO-based system, where the tolerance to delay is limited by the depth of the FIFO buffer that is implemented in the system.

15       **[0018]** If no FIFO buffer is implemented in an embodiment, there is a further advantage regarding initialization of the devices. If there is no buffer, the first device and second device can begin the transfer of data without an initiation sequence to initialize the buffer. The elimination of this initialization sequence thereby eliminates the control logic and signal pins associated with an initialization sequence.

[0019] Figure 1 is a block diagram illustrating an embodiment of the process of dynamically phase aligning a digital interface between a first device that is transmitting data and to a second device. According to the embodiment, a first clock signal is generated for use of the second device, process block **100**. The second device may be a high speed analog device. A second clock signal source is generated and is transmitted from the second device to the first device, process block **110**, thus providing a counter clocked interface. The second clock signal then is transmitted from the first device to the second device together with the data, process block **120**. The second clock signal will be delayed because of the propagation time through the first device. Upon receiving the second clock signal from the transmitting device, the receiving device detects the phases of the first and second clock signals, process block **130**, and compares the phases to each other, process block **140**. If the phases are in alignment, the process continues to detect the clock phases, process block **130**, in order to monitor any changes in the relative clock signal phases. If the phases are not in alignment, the phase of the second clock signal is modified such that the phases of the first clock signal and the second clock signal are in alignment, process block **150**. The phase of the second clock may be modified by, for example, modifying the frequency of the second clock or implementing an adjustable delay. Subsequent to modifying the phase of the second clock signal, the process continues to detect the clock signal phases, process block **130**.

[0020] Figure 2 is a timing diagram of clock signal phases to illustrate an embodiment of a dynamic phase aligning process. A first clock signal **200** has a given phase. A second clock signal **210** will initially have a phase that may vary in time by a factor of  $\pm \Delta T$  **220**. An example of an uncorrected second clock signal **230** is shown in



Figure 2. In this particular example, the uncorrected second clock signal **230** lags the first clock signal **200** by  $\Delta T$ . In the example, the frequency of the source of the second clock signal would be modified such that the phase of a corrected second clock signal **240** is aligned with the phase of the first clock signal **200**. The dynamic phase alignment process would continue to monitor the phases of the first and second clock signals and to modify the source of the second clock signal as necessary to maintain alignment of the corrected second clock signal **230** with the first clock signal **200**.

[0021] An embodiment of an interface with a FIFO buffer is shown in Figure 3. A first device **300** is interfaced with a second device **305**. In this embodiment, the first device may be a SONET/SDH (synchronous optical network/synchronous digital hierarchy) framer and the second device may be a serializer-deserializer (SERDES). In this particular embodiment, first device **300** includes a FIFO buffer **310** and a control unit **315**. In the embodiment, second device **305** includes a serializer unit **320**. The interface **322** between first device **300** and second device **305** includes a data connection **325**, which the first device **300** utilizes to transmit data to the second device **305**. Note that while Figure 3 only illustrates the data being transmitted from the first device to the second device, data may also be transmitted from the second device to the first device. The interface further includes a first clock connection **330**, a second clock connection **335**, and a third clock connection **340**. Note that third clock connection **340** may be omitted in many embodiments. For example, third clock connection **340** may be omitted if the core clock (used for FIFO write operations) for first device **300** is frequency locked with a reference clock of second device **305**.

[0022] In Figure 3, second device **305** also receives an external reference clock **345**, which second device **305** may use to generate clock signals through the use of a clock multiplier unit **350**. Note the use of an external reference clock is not required and that an embodiment of dynamic phase alignment may be operated regardless of the method in which the core clock of second device **305** is generated. Most commonly the core clock of second device **305** is generated by locking a voltage controlled oscillator to an external reference. In the embodiment shown in Figure 3, serializer unit **320** is clocked by a first clock signal **355**. Second device **305** then transmits a second clock signal source **360** to first device **300** using second clock connection **335**. After second clock signal source **360** propagates through first device **300**, a second clock signal **370** then is transmitted back from first device **300** to second device **305** using first clock connection **330**. As second clock signal source **360** propagates through first device **300**, there is a propagation delay **365** (a clock-out to data-in delay) of  $T \pm \Delta T$ . Second clock signal **370** as received by the second device through clock connection **330** is delayed by a time period of  $T \pm \Delta T$ . In the description of this embodiment,  $\pm \Delta T$  is the variation in the propagation delay by which the phase of second clock signal **370** may differ from the phase of first clock signal **355**.

[0023] In the embodiment shown in Figure 3, second device **305** detects and compares the phase of first clock signal **355** and second clock signal **370** and modifies the phase of second clock signal source **360** such that the phases of first clock signal **355** and second clock signal **370** are in alignment. The embodiment also includes a third clock signal **375** that is transmitted from second device **305** to first device **300** using third

clock connection **340**, where the phase of third clock signal **375** is not modified. In addition to any other uses, third clock signal **375** is utilized by FIFO buffer **310**.

[0024] An embodiment of an interface with a FIFO buffer is shown in Figure 4. A first device **400** is interfaced with a second device **405**. In this particular embodiment, first device **400** includes a control unit **415**. In the embodiment, second device **405** includes a serializer unit **420**. The interface **422** between first device **400** and second device **405** includes a data connection **425**, which the first device **400** utilizes to transmit data to the second device **405**. Note that while Figure 4 only illustrates the data being transmitted from the first device to the second device, data may also be transmitted from the second device to the first device. The interface further includes a first clock connection **430** and a second clock connection **435**.

[0025] In Figure 4, second device **405** also receives an external reference clock **445**, which second device **405** may use to generate clock signals through the use of a clock multiplier unit **450**. As noted with regard to the embodiment shown in Figure 3, the use of an external reference clock is not required and that an embodiment of dynamic phase alignment may be operated regardless of the method in which the core clock of second device **405** is generated. Serializer unit **420** is clocked by a first clock signal **455**. Second device **405** then transmits a second clock signal source **460** to first device **400** using second clock connection **435**. After second clock signal source **460** propagates through first device **400**, a second clock signal **470** then is transmitted back from first device **400** to second device **405** using first clock connection **430**. As second clock signal source **460** propagates through first device **400**, there is a propagation delay **465** (a clock-out to data-in delay) of  $T \pm \Delta T$ . Second clock signal **470** as received by the

second device through clock connection 430 is delayed by a time period of  $T \pm \Delta T$ . In the description of this embodiment,  $\pm \Delta T$  is the variation in the propagation delay by which the phase of second clock signal 470 may differ from the phase of first clock signal 455.

5           [0026] In the embodiment shown in Figure 4, second device 405 detects and compares the phase of first clock signal 455 and second clock signal 470 and modifies the phase of second clock signal source 460 such that the phases of first clock signal 455 and second clock signal 470 are in alignment.

10           [0027] In another embodiment, there is a subsystem for correcting the clock relationship when a particular clock timing problem occurs. In an embodiment of a dynamic phase alignment interface that is operating properly, the first device delivers the second clock signal at a speed that is near the intended speed of the interface. During synchronization, the clock signal source that is provided by the second device may be higher than the target frequency of the interface, in which case the first device may not be  
15           capable of providing a clock signal at the required frequency and will therefore provide a signal at a clock speed that is slower than clock speed of the second clock signal source. In this case, a phase locked loop in the second device will see a clock frequency that is slower than it should be. This will tend to cause the control loop to *increase* the frequency of the counter clock to attempt to match the phases of the signals, thereby  
20           exasperating the problem because the relative difference in frequency between the second clock signal source and the second clock signal, which is at its maximum, will increase.

          [0028] In one embodiment, the control loop controlling the counter clock is a charge-pump based phase locked loop. In general, the control loop can be implemented

with any controlled oscillator with a control signal, with the control signal being a voltage, a current, or other signal. In a particular embodiment, the control signal is a control voltage for the voltage-controlled oscillator across a capacitor in a loop filter. In this particular embodiment, the control voltage may adjusted by sourcing or sinking  
5 charge to the capacitor. The charge-pump that drives the apparatus is controlled by the phase frequency detector, and the charge pump has a finite maximum output current or, if signs are reversed, a maximum input current. In more general terms for other embodiments, the charge pump and the phase frequency detector comprise a controller with a finite drive capacity.

10 [0029] In a particular embodiment involving a control voltage, if the control loop drives the control voltage to the edge of the range of the charge pump, as when the first device is not capable of producing a fast enough clock speed, this is detected by comparing the control voltage with a fixed reference voltage. Under one embodiment, the fixed reference voltage may be an upper supply voltage. When the control signal is  
15 close to the value of the signal the corresponds to the maximum frequency of the oscillator, then a correction is made to override the control loop. In a particular embodiment in which a control voltage is compared to a fixed reference voltage, when the control voltage is too high or too low, then a current source or sink is enabled to charge or discharge the capacitor in the control loop. Because in the given embodiment  
20 the current source or sink is more powerful than the charge pump, the control loop is overridden and the frequency of the clock is reduced. In a particular embodiment, the control voltage is driven back towards a lower supply voltage, thereby slowing the clock. When the control voltage gets close to the value of the lower supply voltage, the current

source or sink is shut off and the control loop will again begin operation. At this point, the frequency of the second clock signal source is slower than the target frequency of the interface and the first device will be able to generate a valid clock. The clock generated will then be locked to the target frequency and the system will start adjusting for the phase variation.

[0030] Embodiments of dynamic phase aligning interfaces and devices may be used in numerous digital interface applications that include counter-clocking, especially in a system in which the receiving device is a high speed transmitter. Examples of possible applications include SONET (synchronous optical network) interfaces and Ethernet communication interfaces, especially in 10 gigabit Ethernet applications.

Specific examples of embodiments are included in the Viking Serializer/Deserializer chipset and the GD16585 and GD16589 transmitter chips of GIGA ApS, a subsidiary of Intel Corporation of Santa Clara, California. However, a person of average skill in the arts will realize that embodiments may be implemented in other digital interface applications.

[0031] In the foregoing specification, the invention has been described with reference to specific embodiments thereof. It will, however, be evident that various modifications and changes may be made thereto without departing from the broader spirit and scope of the invention. The specification and drawings are, accordingly, to be regarded in an illustrative rather than a restrictive sense.